CRAIGS®
INVESTMENT PARTNERS

Managed Portfolio Service Application Form

Please return this completed Application Form to your Craigs Investment Partners Adviser.

Account Details

Name of existing Craigs Investment Partners Account

Client Account Number

Client Details

Full Name(s) of Individual(s) / Trustees / Executors / Company Name and Directors / Partners / Officers *first, middle and last name or company name*

Full Name of Primary Contact for this Managed Portfolio Service Account *first, middle and last name*

Mailing Address

Post code

Email

Taxation Information for the Account

Please contact your tax adviser if you have any queries regarding this section.

Your Financial Year

1 April to 31 March   Other *specify*

IRD Number

Resident Withholding Tax (RWT)
If you do not provide an IRD Number, RWT will be deducted at 33%.

Resident Withholding Tax (RWT) *select one option only*

Please deduct resident withholding tax (RWT) at the rate of:

10.5%   17.5%   30%   33%   Other *specify*

Company – 28%   Exempt *please provide a copy of your RWT exemption certificate*

DOCUMENT REQUIRED

Overseas Residents *select all sections that apply*

What is the Client's country of residence for tax purposes?

Non-Resident Withholding Tax (NRWT) to be deducted; and/or

Approved Issuer Levy to be applied
this option applies to certain approved interest-bearing investments only

Custodial Holdings Statements and Personalised Reporting

Delivery method   Email/Website   Post

Do you want us to send your end-of-year taxation summary to your tax adviser?   Yes   No

If YES, please give details of your tax adviser below:

Tax Adviser's Name

Firm

Mailing Address

Post code

Work Ph   Email

Delivery method   Post   Email

craigsip.com

NZX Firm

Securities Registration Information

Common Shareholder Number (CSN)
Please state your 9-digit CSN *if allocated*

Authorisation Code (FIN)
Please attach a copy of your 4-digit Authorisation Code (if any) to this page. We will encrypt this in our system and destroy the physical copy of your Authorisation Code. *please do not print it here*

DOCUMENT REQUIRED

Australian Security Reference Numbers (SRN)
Please attach a list (including SRNs) for any Australian shares held.

DOCUMENT REQUIRED

Cash Management Account

Do you have a Craigs Investment Partners Cash Management Account?

Yes No *If NO, please contact your Craigs Investment Partners Adviser*

Applicant Signatures

Please read this section of the Application form carefully.

If you do not understand this section, please contact your Craigs Investment Partners before signing this Application Form.

I/We confirm that:

1. I/We have received and read the Disclosure Statements for my Craigs Investment Partners Adviser(s).
2. I/We have received a copy of the Terms and Conditions;
3. I/We agree to be bound by the Terms and Conditions;
4. I/We have read and understood the risk warnings set out in Clause 16 and the Use and Disclosure of Information set out in Clause 26 of the Terms and Conditions;
5. I/We agree to be bound by any terms and conditions of a nominee holding Securities on my/our behalf as bare trustee.
6. I/We will be treated as a Retail Investor unless I/We certify myself/ourselves to be a "Wholesale Investor" for the purposes of the Financial Markets Conduct Act 2013 and/or as a "Wholesale Client" for the purposes of the Financial Advisers Act 2008;
7. It is my/our responsibility when receiving a Personalised Service to provide Craigs Investment Partners with full and accurate details of my/our financial information ("the Financial Information") and for me/us to provide Craigs Investment Partners with ongoing updates of any material changes to the Financial Information;
8. The Financial Information is required by Craigs Investment Partners to enable its Investment Advisers to determine suitability of the personalised service being provided;
9. My/our Craigs Investment Partners Adviser's investment advice and securities recommendations to me/us will be based on Financial Information that I/We provide to Craigs Investment Partners. If that Financial Information is incomplete and/or inaccurate, my/our Craigs Investment Partners Adviser's investment advice and securities recommendations to me/us may also be incomplete and/or inaccurate;
10. If I/We do not instruct Craigs Investment Partners and/or its Investment Advisers to determine suitability that the advice provided will then be class advice and I/We are aware of the limitations of class advice.
11. Craigs Investment Partners may register a Financing Statement over the Securities at the Personal Property Securities Register if I/We do not pay the purchase price for the Securities to Craigs Investment Partners by the due date for payment; and
12. I/We must obtain the written consent of a nominee that is not associated with Craigs Investment Partners, before Craigs Investment Partners completes a Client Outward Transfer (as defined in the NZX Participant Rules) on my/our behalf into the name of that nominee.
13. I/We appoint Custodial Services Limited as nominee to hold my/our Securities on my/our behalf as bare trustee and Custodial Services Limited agrees to hold my/our Securities on terms and conditions set out in the Terms and Conditions;

Full Name *first, middle and last name*

Capacity

Signature

Date D D | M M | Y Y Y Y

Full Name *first, middle and last name*

Capacity

Signature

Date D D | M M | Y Y Y Y

Office Use Only

CLIENT ACCOUNT NO.

INVESTMENT ADVISER

Account Set-up Information

Brokerage Code or Percentage ______ All stock and cash to be transfered? Yes No

Asset Allocation

% Aust shares % Cash % Fixed Interest % Int. Property % Int Shares
% NZ shares % Property

Are all the stock positions to be loaded up at zero, original cost (if available) or today's market value?

Zero Cost Market

Actions

1. Ensure existing portfolio details in ACE match the client's holdings before sending a copy of this form to Custodial Services Ltd.
2. Note for Custodial, if loading at zero cost ensure AXYS are informed to also load at zero.