

Company Disclosures List



Company Name	Security Code	Disclosure
ANZ Bank New Zealand Limited	ANZ.NZ	Craigs Investment Partners Limited was appointed as a Joint Lead Manager for the July 2022 ANZ Bank New Zealand Limited Perpetual preference shares offer for which it received fees or will receive fees.
Bank of New Zealand	BNZHA	Craigs Investment Partners Limited was appointed as a Joint Lead Manager for the June 2023 Bank of New Zealand Limited Perpetual preference shares offer for which it received fees or will receive fees.
Contact Energy Limited	CEN.NZ & CEN.ASX	Craigs Investment Partners Limited was appointed as Joint Lead Manager and Arranger in the September 2022 Unsecured, Unsubordinated Green Bond for which it received fees or will receive fees.
Contact Energy Limited	CEN.NZ & CEN.ASX	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the April 2023 Unsecured, Unsubordinated Fixed Rate Green Bond Offer for which it received fees or will receive fees.
Genesis Energy Limited	GNE.NZ & GNE.ASX	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the June 2022 Unsecured, Subordinated, Green Capital Bond Offer for which it received fees or will receive fees.
Heartland Bank Limited	HGH.NZ	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the April 2023 Subordinated Note offer for which it received fees or will receive fees.
Kiwibank Limited	KWB1T2	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the May 2023 Subordinated Note offer for which it received fees or will receive fees.
Kiwi Property	KPG.NZ	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the March 2023, Fixed Rate Senior Secured Green Bond Offer for which it received fees or will receive fees.
Manawa Energy	MNW.NZ	Craigs Investment Partners Limited was appointed as a Joint Lead Manager for the September 2022 Manawa Energy Senior Bond offer for which it received fees or will receive fees.
Mercury NZ Limited	MCY.NZ & MCY.ASX	Craigs Investment Partners Limited was appointed as a Joint Lead Manager for the proposed June 2023 Fixed Rate, Unsecured, Unsubordinated Green Bond Offer for which it may receive fees.
Meridian Energy Limited	MEL030, MEL040 & MEL050	Craigs Investment Partners Limited was appointed as Joint Arranger and Joint Lead Manager in the proposed March 2023 Green Bonds Offer for which it received fees or will receive fees.
Napier Port Holdings Limited	NPH.NZ	Craigs Investment Partners Limited was appointed as Arranger and Joint Lead Manager in the September 2022 Unsecured, Unsubordinated, Fixed Rate Bonds Offer for which it received fees or will receive fees.
NZ Rural Land Company Limited	NZL.NZ	Craigs Investment Partners Limited and Wilsons Corporate Finance Limited was appointed as Joint Lead Managers for the June 2022 entitlement shortfall bookbuild offers for which they received fees or will receive fees. Wilsons Corporate Finance Limited is a wholly owned subsidiary of Wilsons Holding Company Pty Limited an unlisted entity 50% owned by Craigs Investment Partners Limited.
NZX Limited	NZX.NZ	Effective from 23 February 2023, NZX Limited (through its wholly owned subsidiary, Smartshares Limited) acquired the management rights for QuayStreet Funds and QuayStreet KiwiSaver Scheme from CIP Investment Management Limited (CIPIML) (formerly known as QuayStreet Asset Management Limited), a wholly owned subsidiary of Craigs Investment Partners Limited. As part of that transaction: <ul style="list-style-type: none"> •on 3 March 2023, Craigs Investment Partners Limited acquired approximately 2% of the issued shares in NZX Limited (at the time); and •CIPIML or its successors or permitted assigns may be paid up to \$18.75 million of additional consideration from Smartshares Limited based on investments through Craigs Investment Partners Limited's network into managed investment products managed by Smartshares Limited over a three-year period commencing on 23 November 2022. Craigs Investment Partners Limited has also entered into product support and distribution arrangements with Smartshares Limited, which include obligations in respect of the promotion and distribution of managed investment products offered by Smartshares Limited.
Pushpay Holdings Limited	PPH.NZ	Craigs Investment Partners Limited is providing advisory services to BGH Capital related to the acquisition of Pushpay Holdings Limited by Pegasus Bidco Limited (a consortium made up of BGH Capital and Sixth Street). A fee will be received for acting in this capacity.

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QuayStreet Funds	QSAEF, QSATF, QSBFLF, QSCNF, QSFIF, QSGRF, QSIEF, QSINF, QSNZEF, QSSRF	<p>Effective from 23 February 2023, Smartshares Limited acquired the management rights for QuayStreet Funds from CIP Investment Management Limited (CIPIML) (formerly known as QuayStreet Asset Management Limited), a wholly owned subsidiary of Craigs Investment Partners Limited.</p> <p>As part of that transaction:</p> <ul style="list-style-type: none"> •On 3 March 2023, Craigs Investment Partners Limited acquired approximately 2% of the issued shares in NZX Limited (at the time); and •CIPIML or its successors or permitted assigns may be paid up to \$18.75 million of additional consideration from Smartshares Limited based on investments through Craigs Investment Partners Limited's network into managed investment products managed by Smartshares Limited over a three-year period commencing on 23 November 2022. <p>Craigs Investment Partners Limited has also entered into product support and distribution arrangements with Smartshares Limited, which includes obligations in respect of the promotion and distribution of managed investment products offered by Smartshares Limited.</p>
Ryman Healthcare Limited	RYM.NZ	Craigs Investment Partners Limited was appointed as Underwriter in the December 2022 Dividend Reinvestment Plan Offer.
Smartshares Exchange Traded Funds	AGG,APA,ASD,ASF,ASP, ASR,AUS,BOT,DIV,EMF, EMG,ESG,EUF,EUG,FNZ, GBF,JPN,LIV, MDZ, MZY, NGB, NPF,NZB,NZC,NZG, OZY,TNZ,TWF,TWH, USA, USF,USG,USM,USS,USV	<p>Smartshares Limited, a fund manager of the Smartshares Exchange Traded Funds, is a wholly owned subsidiary of NZX Limited.</p> <p>Effective from 23 February 2023, Smartshares Limited acquired the management rights for QuayStreet Funds and QuayStreet KiwiSaver Scheme from CIP Investment Management Limited (CIPIML) (formerly known as QuayStreet Asset Management Limited), a wholly owned subsidiary of Craigs Investment Partners Limited.</p> <p>As part of that transaction:</p> <ul style="list-style-type: none"> •on 3 March 2023, Craigs Investment Partners Limited acquired approximately 2% of the issued shares in NZX Limited (at the time); and •CIPIML or its successors or permitted assigns may be paid up to \$18.75 million of additional consideration from Smartshares Limited based on investments through Craigs Investment Partners Limited's network into managed investment products managed by Smartshares Limited over a three-year period commencing on 23 November 2022. <p>Craigs Investment Partners Limited has also entered into product support and distribution arrangements with Smartshares Limited, which include obligations in respect of the promotion and distribution of managed investment products offered by Smartshares Limited.</p>
Summerset Group Holdings limited	SUM.NZ	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the February 2023 Fixed-rate Bond Offer for which it received fees or will receive fees.
Vector Limited	VCT.NZ	Craigs Investment Partners Limited was appointed as Joint Lead Manager in the June 2022 Unsecured, Subordinated Capital Bond Offer for which it received fees or will receive fees.
Westpac New Zealand Limited	WNZL	Craigs Investment Partners Limited was appointed as a Joint Lead Manager for the September 2022 Westpac New Zealand Limited Unsecured, Subordinated Notes offer for which it received fees or will receive fees.
Special Disclosures		
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Arvida Group Limited	ARV.NZ	Susan Peterson an Independent Director of Craigs Investment Partners is an Independent Director of Arvida Group Limited.
Fonterra Co-operative Group Limited	FCG.NZ	Craigs Investment Partners Limited is the Registered Volume Provider (market maker) for the Fonterra Shareholders Market.
Karaka Private Equity No 1 LP	KAR1ULPP.NZUL	<p>Karaka Management Limited is the general partner and the manager of the Karaka Private Equity No 1 LP. Karaka Nominees No 1 Limited is the limited partner and the nominee company for investments in the Karaka Private Equity No 1 LP. Karaka Management Limited and Karaka Nominees No 1 Limited are both wholly owned subsidiaries of Craigs Investment Partners Limited.</p> <p>Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Karaka Management Limited and Karaka Nominees No 1 Limited.</p>

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Kowhai Private Equity No 1 LP	KPE1ULPP.NZUL	Kowhai PE Management Limited is the general partner and the manager of the Kowhai Private Equity No 1 LP. Kowhai PE Nominees No 1 Limited is the limited partner and the nominee company for investments in Kowhai Private Equity No 1 LP. Kowhai PE Management Limited and Kowhai PE Nominees No 1 Limited are both wholly owned subsidiaries of Craigs Investment Partners Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Kowhai PE Management Limited and Kowhai PE Nominees No 1 Limited.
Kowhai Private Equity No IV LP	KPE4ULPP.NZUL	Kowhai PE Management Limited is the general partner and the manager of the Kowhai Private Equity No IV LP. Kowhai PE Nominees No 1 Limited is the limited partner and the nominee company for investments in Kowhai Private Equity No IV LP. Kowhai PE Management Limited and Kowhai PE Nominees No 1 Limited are both wholly owned subsidiaries of Craigs Investment Partners Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Kowhai PE Management Limited and Kowhai PE Nominees No 1 Limited.
Matai Private Equity No 1 LP	MATAIULPP.NZULUS	Matai Management Limited is the general partner and the manager of the Matai Private Equity No 1 LP. Matai Nominees Limited is the limited partner and the nominee company for investments in the Matai Private Equity No 1 LP. Matai Management Limited and Matai Nominees Limited are both wholly owned subsidiaries of Craigs Investment Partners Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Matai Management Limited and Matai Nominees No 1 Limited.
Mercury NZ Ltd	MCY.NZ, MCY.ASX	Susan Peterson an Independent Director of Craigs Investment Partners is an Independent Director of Mercury NZ Ltd
New Zealand Social Infrastructure Fund Limited	SIFUL.NZUL	Craigs Investment Partners Limited owns 100% of NZSIF Management Limited, which provides administration services to New Zealand Social Infrastructure Fund Limited
Pengana Capital	PCG, PIA, PE1, PENAUEC, PENAUECDRP, PENEMCO, PENEMCODRP, PENGET, PENGSCO, PENVGT	Craigs Investment Partners Limited is the New Zealand representative for Pengana Capital.
Pilot Bay US Small Cap Fund	PBSCF.NZUTUS	Pilot Bay Funds Management Limited is a wholly owned subsidiary of Craigs Investment Partners Limited and acts as the manager and trustee of the Pilot Bay US Small Cap Fund. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Pilot Bay Funds Management Limited.
Pohutukawa Private Equity Limited	PPE.NZUL	Craigs Investment Partners Limited owns 50% of Pohutukawa Management Limited, the manager of Pohutukawa Private Equity Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Pohutukawa Private Equity Limited and Pohutukawa Management Limited.
Pohutukawa Private Equity II Limited	PPE2ULPP.NZUL	Craigs Investment Partners Limited owns 50% of Pohutukawa II Management Limited, the manager of Pohutukawa Private Equity II Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Pohutukawa Private Equity II Limited and Pohutukawa II Management Limited.
Pohutukawa Private Equity V Limited	PPE5ULPP.NZUL	Craigs Investment Partners Limited is the administrative manager for Pohutukawa Private Equity V Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Pohutukawa Private Equity V Limited.
Pohutukawa Private Equity VI Limited	PPE6ULPP.NZUL	Craigs Investment Partners Limited is the administrative manager for Pohutukawa Private Equity VI Limited. Neil Craig a Director of Craigs Investment Partners Limited is a Non-Executive Director of Pohutukawa Private Equity VI Limited.
Property for Industry Limited	PFI.NZ	Susan Peterson an Independent Director of Craigs Investment Partners was an Independent Director of Property for Industry Limited in the past six months.
Vista Group International Limited	VGL.NZ & VGL.ASX	Susan Peterson an Independent Director of Craigs Investment Partners is an Independent Chair of Vista Group International Limited.
Xero Limited	XRO.ASX	Susan Peterson an Independent Director of Craigs Investment Partners is an Independent Director of Xero Limited.
Zespri Group Limited	ZGL.NZUL	Craigs Investment Partners Limited provides a market maker service to Zespri Group Limited.