

## Company Disclosures



Company Name	Security Code	Disclosure
Air New Zealand Limited	AIR.NZ	<p><b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year.</p> <p><b>DB15</b> This company has been a client of Deutsche Bank Securities Inc. within the past year, during which time it received non-investment banking securities-related services.</p>
AMP Limited	AMP.NZ & AMP.ASX	<p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p> <p><b>DB11</b> Deutsche Bank is acting as the advisor to Resolution Group for the acquisition of AMP Life and AMP Mature business units from AMP Limited.</p> <p><b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year.</p> <p><b>DB15</b> This company has been a client of Deutsche Bank Securities Inc. within the past year, during which time it received non-investment banking securities-related services.</p>
APA Group	APA.ASX	<p><b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.</p> <p><b>DB6</b> Deutsche Bank and/or its affiliate(s) owns one percent or more of any class of common equity securities of this company calculated under computational methods required by US law.</p> <p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p> <p><b>DB9</b> Deutsche Bank and/or its affiliate(s) owns one percent or more of any class of common equity securities of this company calculated under computational methods required by India law.</p> <p><b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year.</p>
Auckland International Airport Limited	AIA.NZ & AIA.ASX	<p><b>DB6</b> Deutsche Bank and/or its affiliate(s) owns one percent or more of any class of common equity securities of this company calculated under computational methods required by US law.</p> <p><b>DB9</b> Deutsche Bank and/or its affiliate(s) owns one percent or more of any class of common equity securities of this company calculated under computational methods required by India law.</p>
Australia and New Zealand Banking Group Limited	ANZ.NZ & ANZ.ASX	<p><b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.</p> <p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p> <p><b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year.</p> <p><b>DB15</b> This company has been a client of Deutsche Bank Securities Inc. within the past year, during which time it received non-investment banking securities-related services.</p>
Chorus Limited	CNU. NZ & CNU.ASX	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager in December 2018 Senior Bond Offer for which it received fees or will receive fees for acting in this capacity.
Fisher & Paykel	FPH.NZ &	<b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-

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Healthcare Corporation Limited	FPH.ASX	investment banking related compensation from this company within the past year.
Fletcher Building	FBU.NZX	<b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year. <b>DB15</b> This company has been a client of Deutsche Bank Securities Inc. within the past year, during which time it received non-investment banking securities-related services.
Fonterra Co-operative Group Limited	FCG.NZ	<b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year.
Genesis Energy Limited	GNE.NZ & GNE.ASX	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited was appointed Joint Lead Manager in the June 2018 Subordinated Capital Bond Offer for which it received fees or will receive fees for acting in this capacity. <b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees. <b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.
Gentrack Group Limited	GTK.NZ & GTK.ASX	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager and Joint Underwriter in the July 2018 pro-rata renounceable entitlement offer for which it received fees or will receive fees for acting in this capacity. <b>DB6</b> Deutsche Bank and/or its affiliate(s) owns one percent or more of any class of common equity securities of this company calculated under computational methods required by US law. <b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year. <b>DB9</b> Deutsche Bank and/or its affiliate(s) owns one percent or more of any class of common equity securities of this company calculated under computational methods required by India law.
Goodman Property Trust	GMT.NZ	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited was appointed Joint Lead Manager in the February 2018 Fixed-rate Senior Secured Bond Offer for which it received fees or will receive fees for acting in this capacity. <b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.
Infratil Limited	IFT.NZ & IFT.ASX	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited was appointed Joint Lead Manager in the October 2018 Unsecured, Unsubordinated Bond Offer for which it received fees or will receive fees for acting in this capacity.
Investore Property Limited	IPL.NZ	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager in the March 2018 Fixed Rate Senior Secured Bond Offer for which it received fees or will receive fees for acting in this capacity. <b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.
Kiwi Property Group Limited	KPG.NZ	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager in the November 2018 Fixed Rate Senior Secured Bond

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		<p>Offer for which it received fees or will receive fees for acting in this capacity.</p> <p>Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager in the December 2017 Fixed Rate Senior Secured Bond Offer for which it received fees or will receive fees for acting in this capacity.</p> <p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p>
Mercury NZ Limited	MCY.NZ & MCY.ASX	<p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p>
Meridian Energy Limited	MEL.NZ & MEZ.ASX	<p>Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Co-Manager in the June 2018 Fixed Rate Unsecured Unsubordinated Bond Offer for which it received fees or will receive fees for acting in this capacity.</p> <p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p>
Oceania Healthcare Limited	OCA.NZ & OCA.ASX	<p>Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Advisor, Underwriter and Bookrunner for the September 2018 block trade for which it received fees or will receive fees for acting in this capacity.</p> <p><b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.</p>
Property for Industry Limited	PFI.NZ	<p>Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager in the September 2018 Senior Secured Fixed Rate Bond Proposed Offer for which it received fees or will receive fees for acting in this capacity.</p> <p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p>
Pushpay Holdings Limited	PPH.NZ & PPH.ASX	<p>Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Bookrunner and Underwriter for the June 2018 bookbuild for which it received fees or will receive fees for acting in this capacity.</p> <p><b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.</p>
Rabobank Nederland		<p><b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.</p>
Serko Limited	SKO.NZ & SKO.ASX	<p>Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Advisor, Underwriter and Bookrunner in the July 2018 placement of new shares in Serko for which it received fees or will receive fees for acting in this capacity.</p> <p><b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.</p>
Trustpower Limited	TPW.NZ	<p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.</p>
Vital Healthcare	VHP.NZ	<p><b>DB7</b> Deutsche Bank and/or its affiliate(s) has received</p>

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Property Trust		compensation from this company for the provision of investment banking or financial advisory services within the past year.
Viva Energy Group Limited	VEA.ASX	Craigs Investment Partners was appointed as Co-Manager in the July 2018 IPO. <b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees. <b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year.
WEL Networks Limited		Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited was appointed as Joint Lead Manager in the July 2018 Subordinated Bond Offer for which it received fees or will receive fees for acting in this capacity.
Westpac Banking Corporation	WBC.NZ & WBC.ASX	<b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees. <b>DB7</b> Deutsche Bank and/or its affiliate(s) has received compensation from this company for the provision of investment banking or financial advisory services within the past year. <b>DB14</b> Deutsche Bank and/or its affiliate(s) has received non-investment banking related compensation from this company within the past year. <b>DB15</b> This company has been a client of Deutsche Bank Securities Inc. within the past year, during which time it received non-investment banking securities-related services.
Z Energy Limited	ZEL.NZ & ZEL.ASX	Deutsche Craigs Limited, a wholly owned subsidiary of Craigs Investment Partners Limited has been appointed Joint Lead Manager in the August 2018 Unsubordinated, Secured, Fixed Rate Bond Offer for which it received fees or will receive fees for acting in this capacity. <b>DB1</b> Within the past year, Deutsche Bank and/or its affiliate(s) has managed or co-managed a public or private offering for this company, for which it received fees.

### Special Disclosures

Company Name	Security Code	Disclosure
Australia and New Zealand Banking Group Limited	ANZ.NZ & ANZ.ASX	John Macfarlane a Director of Craigs Investment Partners Limited is a Director of Australia and New Zealand Banking Group Limited (ANZ Bank).
Comvita Limited	CVT.NZ	Neil Craig the Executive Chairman of Craigs Investment Partners Limited, an entity affiliated with Deutsche Bank, is the Non-Executive Chairman of Comvita Limited.
L1 Long Short Fund Limited	LSF.ASX	John Macfarlane a Director of Craigs Investment Partners Limited is an Independent Director of L1 Long Short Fund Limited.
QuayStreet Funds	QSAEF, QSATF, QSBLF, QSCNF, QSFIF, QSGRF, QSIEF, QSINF, QSNZEF, QSSRF	QuayStreet Asset Management Limited, a wholly owned subsidiary of Craigs Investment Partners Limited, is the manager for the QuayStreet Funds.
MyFarm Limited	N/A	Neil Craig the Executive Chairman of Craigs Investment Partners Limited, is a Director of AGInvest Holdings Limited the ultimate holding company for MyFarm Limited (MyFarm). John Macfarlane a Director of Craigs Investment Partners Limited is a Director of AGInvest Holdings Limited the ultimate holding company for MyFarm Limited (MyFarm).
NZX Limited	NZX.NZ	Frank Aldridge the Managing Director of Craigs Investment

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		Partners Limited, an entity affiliated with Deutsche Bank, is a Non-Executive Director of NZX Limited.
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